

In situ characterization of cofacial Co(IV) centers in Co₄O₄ cubane: Modeling the high-valent active site in oxygen-evolving catalysts

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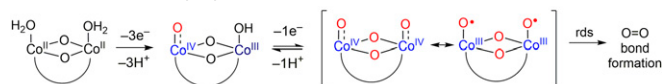
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The Co₄O₄ cubane is a representative structural model of oxidic cobalt oxygen-evolving catalysts (Co-OECs). The Co-OECs are active when residing at two oxidation levels above an all-Co(III) resting state. This doubly oxidized Co(IV)₂ state may be captured in a Co(III)₂(IV)₂ cubane. We demonstrate that the Co(III)₂(IV)₂ cubane may be electrochemically generated and the electronic properties of this unique high-valent state may be probed by in situ spectroscopy. Intervalence charge-transfer (IVCT) bands in the near-IR are observed for the Co(III)₂(IV)₂ cubane, and spectroscopic analysis together with electrochemical kinetics measurements reveal a larger reorganization energy and a smaller electron transfer rate constant for the doubly versus singly oxidized cubane. Spectroelectrochemical X-ray absorption data further reveal systematic spectral changes with successive oxidations from the cubane resting state. Electronic structure calculations correlated to experimental data suggest that this state is best represented as a localized, antiferromagnetically coupled Co(IV)₂ dimer. The exchange coupling in the cofacial Co(IV)₂ site allows for parallels to be drawn between the electronic structure of the Co₄O₄ cubane model system and the high-valent active site of the Co-OEC, with specific emphasis on the manifestation of a doubly oxidized Co(IV)₂ center on O–O bond formation.

water splitting | renewable energy | solar-to-fuels | electrocatalysis | oxygen evolution reaction

The overall efficiency of the solar-to-fuels conversion process of water splitting in large part is determined by the overpotential required to drive the oxygen evolution half-reaction (i.e., $2\text{H}_2\text{O} \rightarrow \text{O}_2 + 4\text{H}^+ + 4\text{e}^-$) (1–3). This half-reaction may be driven at high activity by Earth-abundant catalysts, which are formed by self-assembly upon anodic deposition from buffered cobalt, nickel, and manganese salt solutions (4–10). As determined by in situ structural measurements (11–14), the heterogeneous films consist of aggregates of metalate clusters of molecular dimension. These metalate clusters are ubiquitous and likely the active catalytic species of conventional metal oxide oxygen evolution reaction (OER) catalysts. High-resolution transmission electron microscopy of crystalline cobalt oxides in neutral and alkaline solutions reveals that the surface of the oxide is indeed an amorphous overlayer comprising the metalate clusters (15–18). Electrochemical kinetics (19) and spectroscopic measurements (20, 21) support a mechanism consisting of a minor equilibrium proton-coupled electron transfer process to generate effectively a Co(III)Co(IV) precatalyst, followed by a subsequent oxidation to generate a doubly oxidized state that drives the turnover-limiting O–O bond-forming step (22). Isotope labeling studies of active oxidic cobalt OER catalysts (23, 24) establish direct coupling of oxygens on neighboring sites, thus identifying one path for O–O bond formation from a Co(IV)₂ state,



[1]

The generation of the reactive Co(IV)₂ intermediate in a minor equilibrium step, followed immediately by rapid catalyst turnover (i.e., O–O bond formation), circumvents its direct observation. In this regard, insights into the details of the OER mechanism in Co-OECs have been greatly aided by molecular model complexes. Complementary electrochemical studies of binuclear cobalt complexes and Co-OECs are consistent with the contention that OER occurs at a dicobalt edge site (23, 25–27). As illustrated in Fig. 1, the edge site geometry of Co-OECs is captured in cobalt cubanes, which are structural analogs of Co-OEC; moreover, stable Co(IV) centers form in cobalt cubanes (2, 21, 28, 29). Although the ligand field of Co₄O₄ cubanes differs slightly from that of Co-OEC arising from the presence of pyridine N and acetate O donors, cobalt-oxo molecular species that stabilize one or more Co(IV) centers are rare. The Co(III)₃Co(IV) state of the cubane has been shown to be a Robin–Day class II mixed-valence (MV) complex (30), and an increase in formal oxidation state to the doubly oxidized cubane has been shown to be active for OER (31, 32). In a Co₄O₄(py)₄(OAc)₄ cubane (1) (33), the doubly oxidized Co(III)₂Co(IV)₂ core may be electrochemically accessed (30). We now report the electron transfer

Significance

The Co-OEC (oxygen-evolving catalyst) is an exemplary OEC that has provided a wealth of kinetics information on the proton-coupled electron transfer mechanism of O–O bond formation. Whereas electrochemical kinetics studies establish a high-valent Co(IV)₂ oxidation state as a prerequisite for catalysis, this species cannot be spectroscopically examined in thin-film Co-OECs owing to its short lifetime and dilution against a largely Co(III) background. A molecular model of this high-valent active species is provisioned in the form of a doubly oxidized Co(III)₂(IV)₂ cubane. In situ X-ray absorption spectroscopic examination of this high-valent state of the cubane provides direct insights into the electronic structure of a Co(IV)₂ site and into its role in the mechanism of O–O bond formation.

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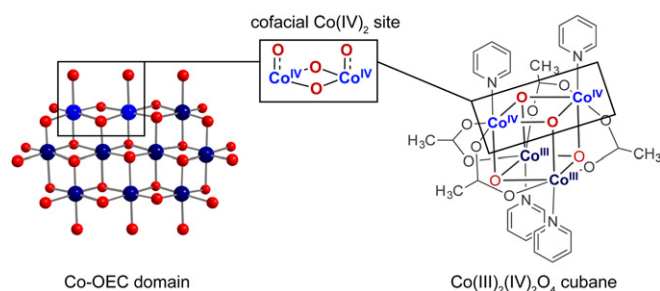


Fig. 1. Cofacial Co(IV)_2 sites in Co-OEC are modeled by a Co_4O_4 cubane.

kinetics, in situ optical and X-ray spectroscopies, and density-functional theory (DFT) calculations of the doubly oxidized Co(IV)_2 state of the $\text{Co}_4\text{O}_4(\text{py})_4(\text{OAc})_4$ cubane. These studies provide characterization of a Co(IV)_2 state in a molecule and offer direct insight into the role of Co(IV)_2 in promoting OER catalysis.

Results

Electrochemistry. Fig. 2A shows the cyclic voltammogram (CV) of cubane **1**. Two reversible waves at $E^0(1) = 0.30$ and $E^0(2) = 1.45$ V vs. $\text{Fc}^{+/0}$ (all potentials are referenced to ferrocene unless otherwise noted) are observed, corresponding to the $\text{Co(III)}_3(\text{IV})(\text{1b})/\text{Co(III)}_4(\text{1a})$ and $\text{Co(III)}_2(\text{IV})_2(\text{1c})/\text{Co(III)}_3(\text{IV})(\text{1b})$ reduction processes. From these potentials, a comproportionation constant of $K_C = 3.05 \times 10^{19}$ is calculated (details in SI Appendix) for the equilibrium $\text{Co(III)}_4 + \text{Co(III)}_2(\text{IV})_2 \rightarrow 2\text{Co(III)}_3(\text{IV})$. This large K_C is consistent with the ability to chemically isolate **1b**. The scan rate dependence of the CV of Fig. 2A allows for the determination of the electron transfer (ET) kinetics. Fig. 2B and C are “trumpet plots” of the experimental CV peak splitting with scan rate (34). The heterogeneous ET rate constant $k_s = 0.110$ and 0.050 cm/s for the first and second oxidations, respectively, may be extracted from simulations of the CVs using DigiElch (35). The fits of the trumpet plots using these k_s s are shown by the solid lines in Fig. 2B and C. The difference in rate constants for the two oxidation processes originates from the reorganization energy, λ , comprising inner- (λ_i) and outer-sphere (λ_o) components. The total λ , ascertained from k_s (SI Appendix) (36), is $\lambda = 1.00$ and 1.08 eV for the oxidation of **1a** and **1b**, respectively.

Spectroelectrochemistry was used to probe the in situ stability of the electrochemically generated doubly oxidized **1c**, as the high standard reduction potential of **1c** precludes its chemical

isolation (37). Fig. 3A shows the spectral changes accompanying the oxidation of **1a** to **1b** by a constant potential electrolysis (CPE) performed at 0.80 V. The prominent absorption feature of **1a** at 364 nm decreases and a broad increase in absorbance from 400 to 800 nm is observed over the course of oxidation. The spectrum of **1b** was stable under applied potential and isosbestic points are maintained for the conversion. CPE at 1.8 V resulted in the further oxidation of **1b** to **1c**, indicated by a broad growth in absorbance across the entire visible region (Fig. 3B). In the absence of applied potential, the spectrum of the **1c** reverts to **1a** over 30 min (SI Appendix, Fig. S1).

Isolated samples of **1b** exhibit an intervalence charge-transfer (IVCT) band at $\sim 4,580$ cm^{-1} (30). This near-infrared (NIR) absorption is reproduced in the spectroelectrochemical oxidation of **1a** to **1b**, as shown in Fig. 3C. An IVCT transition is maintained upon the oxidation of **1b** to **1c**. The IVCT of the in situ, electrochemically generated **1b** is slightly shifted from that of the chemically oxidized species previously reported (30), which may be due to ion pairing effects from electrolyte ions, the presence of trace water in the in situ experiment, incomplete conversion of species within the spectral window, or electric field effects from the Pt flag working electrode. This difference does not preclude a direct comparison between **1b** and **1c** generated under the same conditions in situ. Table 1 lists the ν_{max} and full width at half maximum, $\Delta\nu_{1/2}$, for **1b** and **1c**. The IVCT of **1c** is blue-shifted and has a higher extinction coefficient than that of **1b**. The IVCT transitions were modeled with Gaussian profiles (SI Appendix, Fig. S2), and Hush analysis (38–40) permits calculation (SI Appendix) of the intramolecular charge-transfer parameters listed in Table 1: H_{ab} , the electronic coupling matrix element between metal centers; λ , the reorganization energy associated with intramolecular ET; ΔG^* , the thermal barrier to intramolecular ET; and k_{ET} , the rate constant of intramolecular ET. Note that the λ determined by IVCT analysis is for a self-exchange ET reaction between Co^{III} and Co^{IV} centers in **1b** and **1c** ($\lambda = 0.7$ eV), whereas the λ determined by CV analysis is for the heterogeneous ET reaction describing the one-electron oxidation of Co^{III} to Co^{IV} in **1a** and **1b** ($\lambda = 1.0$ eV). The increase in ν_{max} for **1c** compared with **1b** is evidence for an increased λ accompanying double oxidation of the cubane as supported by DFT calculations (*vide infra*). The k_{ETS} are on the order of 10^{12} s^{-1} , consistent with Co(IV) in **1b** appearing delocalized by electron paramagnetic resonance (timescale of $\sim 10^{-9}$ s) (21) and localized by X-ray absorption spectroscopy (timescale of $\sim 10^{-15}$ s) (30). In line with this result, the spectroscopy of the oxidized cubanes is consistent with Robin–Day class II mixed valency. Values of the parameter $\Gamma (= 1 - (\Delta\nu_{1/2}/\Delta\nu_{1/2}^0))$, where $\Delta\nu_{1/2}^0$ is the theoretical

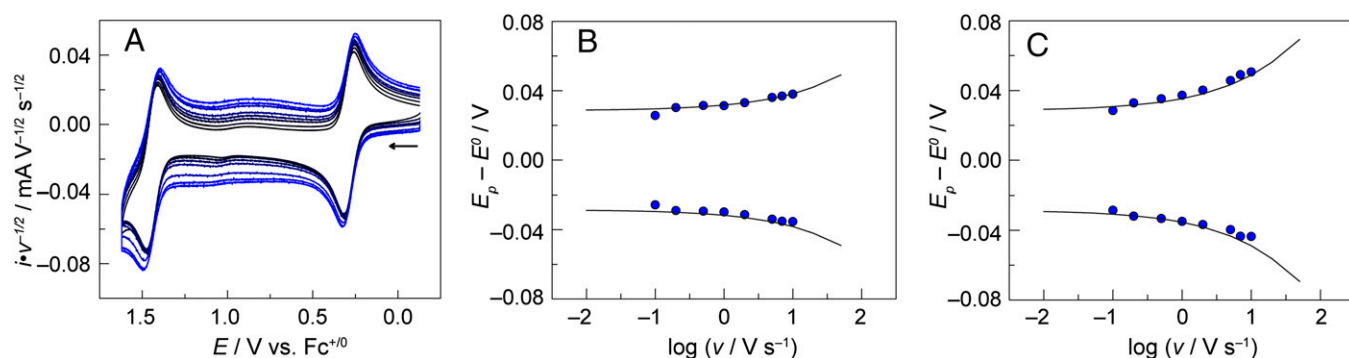


Fig. 2. (A) CVs of 1 mM **1** in MeCN + 0.1 M $n\text{-Bu}_4\text{NPF}_6$ under N_2 on a glassy carbon electrode, at scan rates increasing from $v = 0.1$ (black lines) to 10 (blue lines) V/s. The vertical axis represents current normalized by the square root of the scan rate. (B) Trumpet plot of peak splitting of **1a** (blue circles) and fit (black lines), using a heterogeneous electron transfer rate constant of $k_s = 0.110$ cm/s. (C) Trumpet plot of peak splitting of **1b** (blue circles) and fit (black lines), using a heterogeneous ET rate constant of $k_s = 0.050$ cm/s.

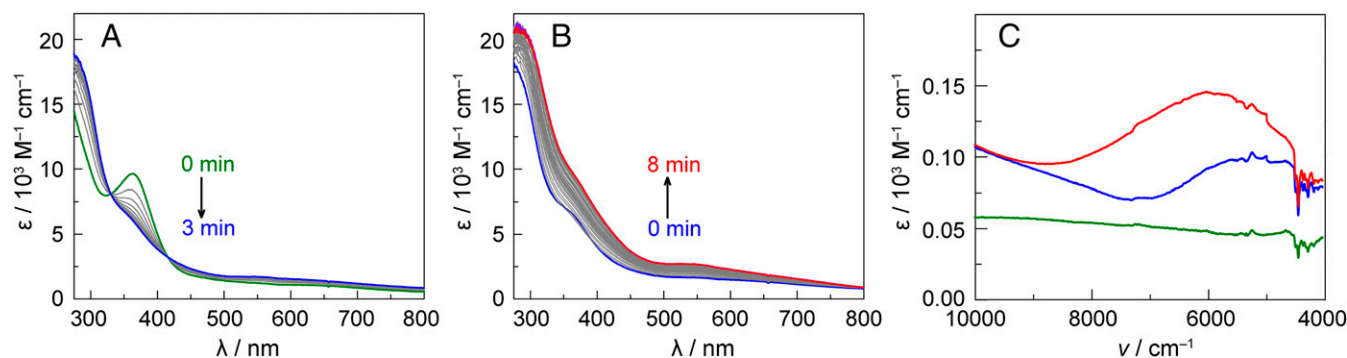


Fig. 3. UV-vis spectroelectrochemistry of the oxidation of (A) **1a** (green lines) to **1b** (blue lines) for $E_{\text{appl}} = 0.80$ V vs. $\text{Fc}^{+/0}$ and (B) **1b** (blue lines) to **1c** (red lines) for $E_{\text{appl}} = 1.8$ V vs. $\text{Fc}^{+/0}$. The oxidations were performed on 2 mM MeCN solutions containing 0.1 M $n\text{-Bu}_4\text{NPF}_6$. (C) NIR spectra of **1a** (green lines), **1b** (blue lines), and **1c** (red lines), electrochemically generated at open-circuit potential, 0.80 V, and 1.8 V vs. $\text{Fc}^{+/0}$, respectively. NIR spectra were taken on 16.7 mM deuterated MeCN solutions containing 0.1 M $n\text{-Bu}_4\text{NPF}_6$. Solvent overtones at $\nu < 4,000$ cm^{-1} are too intense for an accurate background subtraction. The cell path length for all measurements is 0.05 cm.

bandwidth determined from ν_{max} (SI Appendix, Eq. S8) between 0 and 0.5, are class II mixed valent, as observed for **1b** and **1c**. Note that the Gaussian fits are partially complicated by the solvent background; however, the fits reported here represent upper bounds on Γ and thus fully support class II behavior and unambiguously rule out class II/III or III behavior.

X-Ray Absorption Spectroscopy. The stability of **1b** and **1c** in spectroelectrochemical experiments allowed their electronic structure to be probed by in situ X-ray absorption spectroscopy (XAS). A 3D-printable X-ray spectroelectrochemical cell was designed and constructed, the details of which will be provided elsewhere (41). We have previously reported the Co K-edge XAS data for powdered samples of **1a** and **1b**, obtained by chemical oxidation of **1a** with cerium ammonium nitrate (30). The Co K-edge XAS data for solutions of **1a** and in situ electrogenerated **1b** are comparable to those of the powdered samples (SI Appendix, Fig. S3). In situ Co K-edge spectra for **1a–1c** are reproduced in Fig. 4. All spectra show clear edge and preedge features that shift with each subsequent oxidation of the cubane core. The preedge intensity systematically decreases with oxidation (black arrow in the inset), whereas the edge shifts to higher energy upon oxidation. At the position of the blue arrow in Fig. 4, the edge shifts by ~ 0.3 eV from **1a** to **1b** and by ~ 0.4 eV from **1b** to **1c**. The structure on the rising edge and at the white line, which can include multiple scattering effects, can partially obscure edge shifts in this region of the spectra. This structure is highlighted by first derivative plots of the XAS data given in SI Appendix, Fig. S4. Upon formation of **1c**, an additional feature is observed at ~ 7716.5 eV, roughly 7 eV above the preedge (red arrow).

DFT Calculations. Broken symmetry (BS) DFT calculations were performed to probe the ground-state electronic structure of **1c** (Fig. 5A). The $\text{Co}_4\text{O}_4(\text{py})_4(\text{OAc})_4$ doubly oxidized cubane was examined with the generalized-gradient approximation functional composed of the Becke 1988 exchange functional and the Perdew 86 correlation functional (BP86) with 15% Hartree–

Fock (HF) exchange [B(15HF)P86], which we have previously shown is required to reproduce a localized ground state by partially eliminating the self-interaction error present in pure functionals (30). The $\text{Co(III)}_2(\text{IV})_2$ cubane was observed to converge; double oxidation of a single site to produce a $\text{Co(III)}_3(\text{V})$ did not converge within the symmetric cubane ligand set. Two possible configurations of **1c** are labeled *cis-py* (~ 2.85 Å Co–Co) and *cis-OAc* (~ 2.72 Å Co–Co), where the Co(IV)_2 dimer can have either two pyridine or two acetate axial ligands, respectively (Fig. 5A). Single-point calculations give the lowest energy state as a *cis-py*, localized, antiferromagnetically coupled BS singlet state. The energies of the singlet and triplet states are summarized in Fig. 5B for the two configurations, and Mulliken spin densities and population analyses for the α/β -LUMOs (lowest unoccupied molecular orbitals) of the singlet states are given in Table S1. In all cases this analysis supports metal-based oxidation, with largely metal-based hole character and covalent interaction with the oxo ligands and small contributions from pyridine nitrogens and acetate oxygens. The α/β -LUMOs of this Co(IV)_2 site are given in Fig. 5A (Left). The *cis-py* Co(IV)_2 triplet is ~ 0.9 kcal/mol higher in energy than the BS singlet state ($J \sim -340$ cm^{-1}). The BS singlet state can also be formed in the *cis-OAc* Co(IV)_2 dimer, and is ~ 0.4 kcal/mol higher in energy than the *cis-py* BS state. The α/β -LUMOs of the *cis-OAc* Co(IV)_2 site are given in Fig. 5A (Right). For *cis-OAc* Co(IV)_2 , the BS singlet state ($J \sim -19$ cm^{-1}) is nearly isoenergetic with the triplet ($\Delta E \sim 0.05$ kcal/mol). In the absence of an experimental measure of J , these results are qualitative; nonetheless, the lowest-energy states of *cis-OAc* and *cis-py* are both predicted to be localized, antiferromagnetically coupled Co(IV)_2 sites, with the *cis-py* singlet being slightly lower in energy. The magnitude of J varies between the two Co(IV)_2 sites (~ -340 vs. -19 cm^{-1} for *cis-py* or *cis-OAc*, respectively) and thus computation predicts that they are thermally accessible. Given their $S_{\text{Tot}} = 0$ energies are calculated to be only ~ 0.4 kcal/mol different in energy, the singlet states of the two configurations are in thermal equilibrium as well.

Table 1. Thermodynamic and kinetic parameters relevant to intramolecular ET and mixed valency, extracted from Hush analysis of IVCT bands of **1b** and **1c**

| Cubane | ν_{max} , cm^{-1} | $\Delta\nu_{1/2}$, cm^{-1} | $\Delta\nu_{1/2}^0$, cm^{-1} | Γ | H_{abs} , cm^{-1} | λ , eV | ΔG^* , cm^{-1} | k_{ET} , s^{-1} |
|-----------|---------------------------------------|--------------------------------------|--|----------|-------------------------------------|----------------|---------------------------------|-----------------------------------|
| 1b | 5,400 | 2,670 | 3,530 | 0.24 | 273 | 0.67 | 1,090 | 3.22×10^{12} |
| 1c | 6,000 | 3,450 | 3,720 | 0.07 | 394 | 0.74 | 1,130 | 3.17×10^{12} |

See SI Appendix for Hush calculations.

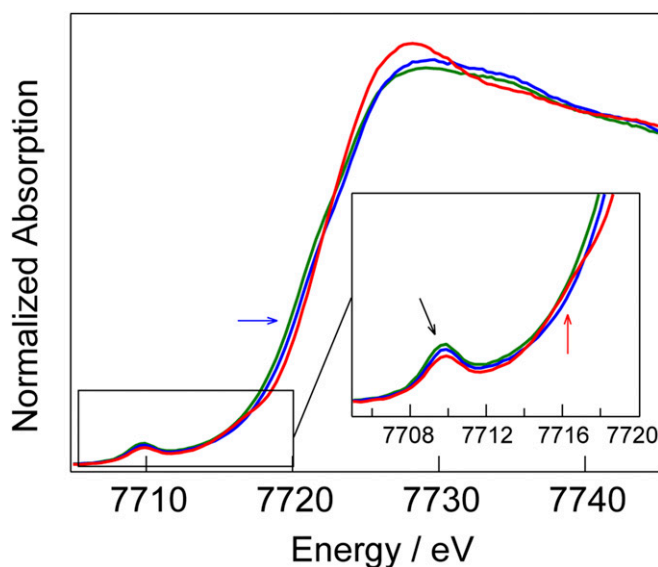


Fig. 4. X-ray spectroelectrochemistry of the three accessible oxidation states of **1**, performed in MeCN + 0.1 M *n*-Bu₄NPF₆. Co K-edge X-ray absorption data for the Co(III)₄ (green lines), Co(III)₃(IV) (blue lines), and Co(III)₂(IV)₂ (red lines) states, obtained by applying no potential, 0.80, and 1.8 V vs. Fc^{+/0}, respectively. Solutions of **1** are 2 mM. The cell path length is 0.1 cm. The blue arrow indicates the position of the edge. The black arrow in the inset indicates the position of the preedge. The red arrow indicates the feature unique to species **1c** at 7716.5 eV.

Discussion

Experimental and computational studies of Co-OEC (19, 23, 24, 42, 43) point to a cofacial oxo-bridged Co(IV)₂ site (Fig. 1) as a highly active species poised for turnover-limiting O–O bond formation (Eq. 1). The electronic properties of this highly active binuclear Co(IV)₂ site are undefined, as its low concentration in the active catalyst precludes its characterization. To this end, a doubly oxidized Co₄O₄ cubane (**1c**) serves as a competent structural and electronic model of this active site. Electrochemical studies

establish the successive oxidation of Co(III)₄ to Co(III)₂(IV)₂ (Fig. 2), which is supported by XAS data (Fig. 4) showing the presence of a cubane species at a higher Co valency than the known Co(III)₃(IV). A feature ~7 eV above the preedge is unique to the Co(IV)₂ state. Important electronic structure characteristics of interest pertaining to the Co(IV)₂ site include (i) whether the Co(IV)s are localized or delocalized, (ii) the nature of the electronic and exchange coupling between the two Co(IV) centers, and the interplay of these two factors.

The presence of Co(IV) and Co(III) centers within the cubane core is indicated by IVCT transitions. With $\Gamma = 0.07$, the IVCT band of **1c** indicates that, like **1b**, it is a class II MV species with localized Co(IV) centers, and the rate constants determined from Hush analysis (Table 1) of the IVCT transitions for **1b** and **1c** establish fast intramolecular Co(IV/III) self-exchange. An analysis of the difference in λ between **1b** and **1c** reveals information about the nature of localization of electron holes in **1c**. Analysis of k_s and the IVCT bands indicate $\lambda(\mathbf{1c}) > \lambda(\mathbf{1b})$. This result is further supported by DFT analysis of the inner-sphere reorganization (λ_i) energy of the cubanes upon ET. For the single-electron oxidation of **1a** to **1b**, $\lambda_i(\mathbf{1b}) = \lambda_a + \lambda_b$, ($\lambda_a = E(\mathbf{1b})(\mathbf{1a}) - E(\mathbf{1b})(\mathbf{1b})$ and $\lambda_b = E(\mathbf{1a})(\mathbf{1b}) - E(\mathbf{1a})(\mathbf{1a})$), where $E(1)(2)$ (1 designates position 1 and 2 designates position 2) refers to the calculated energy of a molecule in the geometry of (2) and oxidation state of (1) (44, 45). Likewise, $\lambda_i(\mathbf{1c})$ may be determined for the single-electron oxidation of **1b** to **1c**. Using the B(15HF)P86 functional, $\lambda_i(\mathbf{1b}) \sim 0.26$ eV is obtained using the asymmetrically distorted, localized DFT structure. Conversely, using the symmetrically distorted, delocalized DFT structure, $\lambda_i(\mathbf{1b}) \sim 0.14$ eV. This decrease in λ_i for valence delocalization is observed in class II vs. III binuclear sites (46) and can be understood from

$$\lambda_i = k_{\text{dis}} n (\Delta r)^2, \quad [2]$$

where k_{dis} is the force constant of the distortion coordinate, n is the number of distorting bonds, and Δr is the magnitude of bond distortion upon oxidation. Comparing a valence-localized dimer with a valence-delocalized dimer, n is expected to be halved

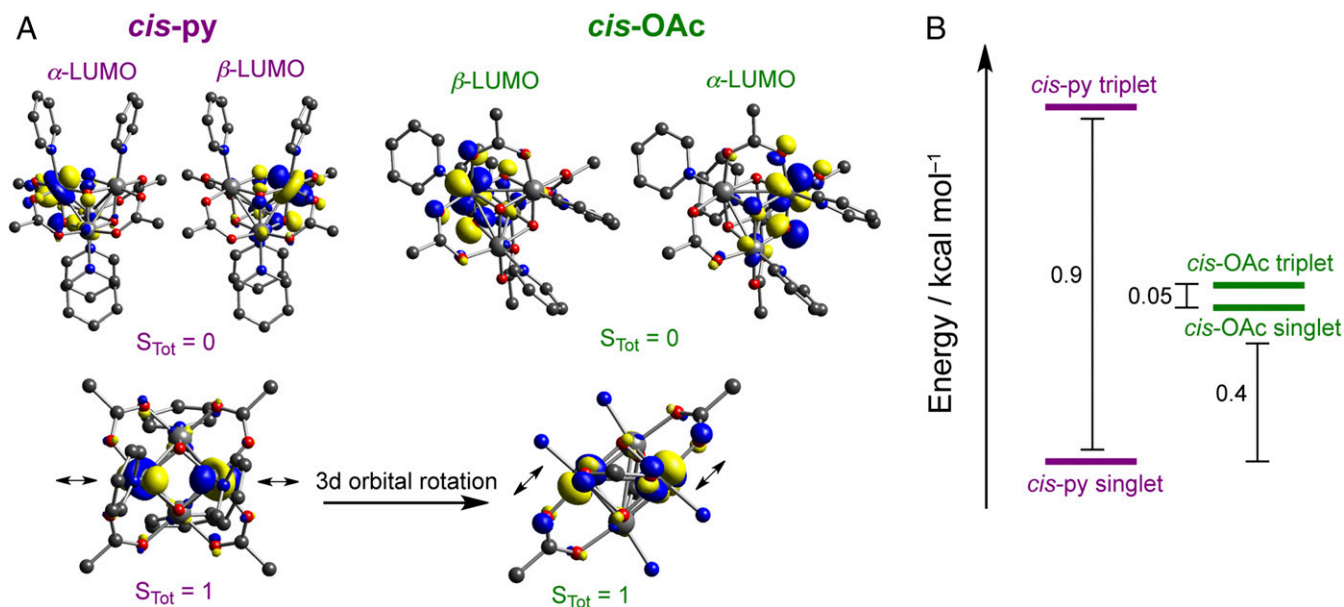


Fig. 5. (A) LUMOs of various Co(III)₂Co(IV)₂ states. $S_{\text{Tot}} = 0$ and 1 states for *cis*-py (Left) and *cis*-OAc Co(IV)₂ (Right). Double-sided arrows in the bottom of the figure indicate 3d-orbital orientations to emphasize relative metal–metal interactions/differential orthogonality (Co, large grey; oxygen, small red; nitrogen, small blue; and carbon, small grey). (B) Energy diagram summarizing singlet and triplet energies for the two configurations.

the $\text{Co(III)}_3\text{(IV)}$ and $\text{Co(III)}_2\text{(IV)}_2$ species are characteristic of Robin–Day class II MV species. DFT calculations indicate greater charge localization within the $\text{Co(III)}_2\text{(IV)}_2$ core, arising as a direct consequence of antiferromagnetically exchange-coupled Co(IV) sites on a cubane edge. This antiferromagnetic exchange coupling pertains to cobalt oxide catalyzed OER, as exchange coupling localizes charge on the dicobalt site and the metal-centered singlet character may convey singlet character to the generated oxyl radicals, thus providing an adiabatic reaction path for direct O–O coupling.

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